

U.S.DepartmentofHousingandUrbanDevelopment
OfficeofPublicandIndianHousing

SmallPHAPlanUpdate
AnnualPlanforFiscalYear: 2003

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTOBECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

**PHA Plan
Agency Identification**

PHAName: Housing Authority of Columbia

PHANumber: KY064

PHA Fiscal Year Beginning:(mm/yyyy) 10/2003

PHA Plan Contact Information:

Name: Shannon Biggs

Phone: 270 -384-2271

TDD: 1 -800-648-6056

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ Main administrative office of the local, county or State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

PHA Programs Administered :

- ☐ Public Housing and Section 8 ☐ Section 8 Only ☒ Public Housing Only

AnnualPHAPlan FiscalYear20 03 [24CFRPart903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

Contents	<u>Page#</u>
Annual Plan	
i. Executive Summary (optional)	3
ii. Annual Plan Information	1
iii. Table of Contents	2
1. Description of Policy and Program Changes for the Upcoming Fiscal Year	4
2. Capital Improvement Needs	4
3. Demolition and Disposition	4
4. Homeownership: Voucher Homeownership Program	5
5. Crime and Safety: PHDEP Plan	5
6. Other Information:	6
A. Resident Advisory Board Consultation Process	
B. Statement of Consistency with Consolidated Plan	
C. Criteria for Substantial Deviations and Significant Amendments	
Attachments	
<input checked="" type="checkbox"/> Attachment A: Supporting Documents Available for Review	
<input checked="" type="checkbox"/> Attachment B: Capital Fund Program 2003 Annual Statement	
<input checked="" type="checkbox"/> Attachment C: Capital Fund Program 5 Year Action Plan	
<input type="checkbox"/> Attachment __: Capital Fund Program Replacement Housing Factor Annual Statement	
<input checked="" type="checkbox"/> Attachment G: Public Housing Drug Elimination Program (PHDEP) Plan	
<input checked="" type="checkbox"/> Attachment O : Resident Membership on PHA Board or Governing Body	
<input checked="" type="checkbox"/> Attachment H : Membership of Resident Advisory Board or Boards	
<input checked="" type="checkbox"/> Attachment I : Comments of Resident Advisory Board or Boards & Explanation of PHA Response (must be attached if not included in PHA Plan text)	
<input checked="" type="checkbox"/> Other (List below, providing each attachment name)	
Attachment D: Capital Fund Program P&E Report –KY36P06450102	
Attachment E: Capital Fund Program P&E Report –KY36P06450101	
Attachment F: Capital Fund Program P&E Report –KY36P06450100	
Attachment J: Criteria for Substantial Amendments or Modifications or Significant Deviations	
Attachment K: Deconcentration & Income Mixing	

AttachmentL:VoluntaryConversionRequiredInitialAssessment
AttachmentM:CommunityService
AttachmentN:DescriptionofPHA’sPetPolicy

i.ExecutiveSummary

[24CFRP art903.79(r)]

AtPHAoption,provideabriefoverviewoftheinformationintheAnnualPlan

ThefollowingisasummaryofprogressmadebytheHousingAuthorityofColumbiainmeetingourgoalsand objectives:

Goal: Expandthesupplyofassistedhousing.

Objective: Reducepublichousingvacancies.

Achievement:TheHousingAuthorityimplementedrentincentivestherebydecreasingouraverage vacancylossfrom6.85vacanciespermonthto4.0permonth.

Goal: Improvethequalityofassistedhousing.

Objective: Improvementpublichousingmanagement.

Increasecustomersatisfaction.

Renovateormodernizepublichousingunits.

Achievement:TheHousingAuthoritycontinuestomaintainanaggressivemodernizationprogram withinthefundinglimitations.Marketabilityisakeyfactorinprioritizingrenovationofstructures andgrounds.

Goal: Provideanimprovedlivingenvironment.

Objective: Implementpublichousingsecurityimprovements.

Achievement:TheHousingAuthorityhasincreasedthewatt ageofitscurrentstreetlightingto provideamorelightedareaofthecomplex.ThereportssubmittedtoHUDfortheHousing Authority’sPHDEPforperiodending12 -31-01reflectedasignificantdecreaseincrimestatistics.

Goal: TheColumbiaHousing Authority’scommunitycentersshallbemoreeffectivelyutilizedto provideresidentservicesasmeasuredbyincreasingtheirutilizationfrom25to50%ofthetimeby December31,2004.

Objective: TheColumbiaHousingAuthorityshallensurethatatleast4supportiveserviceopportunitiesare presentforeverypublichousingresidentbyDecember31,2004.

Achievement:TheHousingAuthorityofColumbiacontinuestopartnerwiththeColumbiaand JamestownCityPoliceandtheCommunityBasedServices.

1.Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

The Housing Authority of Columbia proposes to rescind the Community Service Requirement as outlined in Attachment M.

2.Capital Improvement Needs

[24CFR Part 903.79(g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. ☒ Yes ☐ No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$1 44,014

C. ☒ Yes ☐ No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5 - Year Action Plan

The Capital Fund Program 5 - Year Action Plan is provided as Attachment C

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment B

Attachment D: CFPP & E - KY36P06450102

Attachment E: CFPP & E - KY36P06450101

Attachment F: CFPP & E - KY36P06450100

3.D emolition and Disposition

[24CFR Part 903.79(h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component; if "yes", complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/>

Disposition <input type="checkbox"/>
3.Application status(select one) Approved <input type="checkbox"/> Submitted,pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4.Date application approved,submitted,or planned for submission: <u>(DD/MM/YY)</u>
5.Number of units affected: 6.Coverage of action(select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7.Relocation resources(select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units(describe below)
8.Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4.Voucher Homeownership Program

[24CFR Part 903.79(k)]

A. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If "No", skip to next component; if "yes", describe each program using the table below (copy and complete questions for each program identified.)

B.Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- ☐ Establishing a minimum homeowner down payment requirement of at least 3 percent and requiring that at least 1 percent of the down payment comes from the family's resources
- ☐ Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- ☐ Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5.Safety and Crime Prevention: PHDEP Plan

[24CFR Part 903.7(m)]

ExemptionsSection8OnlyPHAs may skip to the next component PHA eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A. ☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan? **Not Applicable**

B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year?

\$0.00

C. ☐ Yes ☐ No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component. **Not Applicable**

D. ☐ Yes ☒ No No PHDEP Plan is included as noted in Attachment G

6. Other Information

[24 CFR Part 903.79(r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are attached at Attachment (Filename) I

3. In what manner did the PHA address those comments? (select all that apply)

- ☐ The PHA changed portions of the PHA Plan in response to comments. A list of these changes is included ☐ Yes ☐ No: below or ☐ Yes ☐ No: at the end of the RAB Comments in Attachment ____.
- ☐ Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the end of the RAB Comments in Attachment H.
- ☒ Other: (list below) See Attachment H

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) Commonwealth of Kentucky

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ ThePHAhasbaseditsstatementofneedsoffamiliesinthejurisdictiononthe needsexpressedintheConsolidatedPlan/s.
- ☐ ThePHAhasparticipatedinanyconsultationprocessorganizedandofferedby the ConsolidatedPlanagencyinthe developmentoftheConsolidatedPlan.
- ☐ ThePHAhasconsultedwiththeConsolidatedPlanagencyduringthe developmentofthisPHAPlan.
- ☐ ActivitiestobeundertakenbythePHAinthecomingyearareconsistentwith specificinitiativescontainedintheConsolidatedPlan.(listsuchinitiativesbelow)
- ☐ Other:(listbelow)

3. PHARequestsforsupportfromtheConsolidatedPlanAgency

- ☐ Yes ☒ No: Do esthePHArequestfinancialorothersupportfromtheStateorlocal governmentagencyinordertomeettheneedsofitspublichousingresidentsor inventory?Ifyes,pleaselistthe5mostimportantrequestsbelow:

4.TheConsolidatedPlanofthejurisdiction supportsthePHAPlanwiththefollowingactions andcommitments:(describebelow)

Providequalityassistedhousing.

C.CriteriaforSubstantialDeviationandSignificantAmendments

1. AmendmentandDeviationDefinitions

24CFRPart903.7®

PHAsarerequiredtodefineandadopttheirownstandards ofsubstantialdeviationfromthe5-yearPlanand SignificantAmendmenttotheAnnualPlan.The definition ofsignificantamendmentisimportantbecauseit defines whenthePHAwillsubjectachange tothepoliciesoractivitiesdescribedintheAnnualPlantofullpublichearing andHUDreviewbeforeimplementation.

A.SubstantialDeviationfromthe5-yearPlan:

B.SignificantAmendmentorModificationtotheAnnualPlan:

SeeAttachmentJ

Attachment A

Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certification of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
N/A	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdiction to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board -approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered to a public housing development <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD -approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI revitalization plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing § 504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99 -52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHA/s participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHA/s participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input type="checkbox"/> check here if included in the public housing A&O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Community Service Policy	Annual Plan
X	Pet Policy	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of Columbia		Grant Type and Number Capital Fund Program: KY36P06450103 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non - CFP Funds				
2	1406 Operations	15,000			
3	1408 Management Improvements	12,455			
4	1410 Administration	38,245			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	5,000			
10	1460 Dwelling Structures	70,314			
11	1465.1 Dwelling Equipment — Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	3,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 - 19)	144,014			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of Columbia		Grant Type and Number Capital Fund Program: KY36P06450103 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
24	Amount of line 20 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: HousingAuthorityofColumbia		GrantTypeandNumber CapitalFundProgram#: KY36P06450103 CapitalFundProgram ReplacementHousingFactor#:				FederalFYofGrant:2003		
Development Number Name/HA-Wide Activities	GeneralDescriptionofMajorWork Categories	Dev.AcctNo.	Quantity	TotalEstimatedCost		TotalActualCost		Statusof Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
KY64/HAWide	OPERATING	1406		15,000				
	SOFTWARECONTRACT	1408		7,455				
	TECHNICALASSISTANCE	1408		5,000				
	EX.DIRECTOR	1410		9,396				
	PROGRAMDIRECTOR	1410		13,112				
	MAINTENANCESUPERVISOR	1410		9,274				
	BENEFITS	1410		6,463				
KY64 -01	SEWERCLEAN -OUTS	1450		5,000				
KY64 -01&02	EXTERIORFAUCETS	1460		4,500				
KY64 -02	ROOFS	1460		45,000				
KY64 -05	KITCHENCABINETS	1460		20,814				
PHA-WIDE	SEWERMACHINE	1475		3,000				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

[illegible]

CapitalFundProgramFive -YearActionPlan

PartI:Summary

PHANa me HousingAuthorityofColumbia		XXOriginal5 -YearPlan RevisionNo:			
Development Number/Name/HA- Wide	YearI	WorkStatementforYear2 FFYGrant:2004 PHAFY:2005	WorkStatementforYear3 FFYGrant:2005 PHAFY:2006	WorkStatementforYear 4 FFYGrant:2006 PHAFY:2007	WorkStatementforYear5 FFYGrant:2007 PHAFY:2008
	Annual Statement				
PHA Wide		51,255	51,655	61,600	71,400
KY064-1		21,212	43,412	61,372	36,778
KY064-2		9,600	25,000	13,442	35,836
KY064-5		61,947	23,947	7,600	0
TotalCFPFunds (Est.)		144014	144014	144014	144014
TotalReplacement HousingFactorFunds					

CapitalFundProgramFive -YearActionPlan

PartII:SupportingPages —WorkActivities

Activitiesfor Year1	ActivitiesforYear:2 FFYGrant:2004 PHAFY:2005			ActivitiesforYear:3 FFYGrant:2005 PHAFY:2006		
See	PHA Wide	Software Support	7,455	PHA Wide	Software Support	7455
Annual		Technical Support	5,000		Salary Cost Allocation	39,200
Statement		Salary Cost Allocation	38,800		Technical Support	5,000
	KY064-1	Replace Water Heaters	9,600	KY064-1	Bath Vanities	9,600
		Replace Bath Floors	11,612		Replace Electric Panels	33,812
	KY064-2	Replace Water Heaters	9,600			
	KY064-5	Replace Cabinets/Countertops	17,947	KY064-2	Replace Entry Doors	25,000
		Replace Vanities	4000			
		Replace Closet Doors	40000			
				KY064-5	Vinyl Floors	7,000
					Replace Entry Doors	16,947
TOTALS			144014			144014

CapitalFundProgramFive -YearActionPlan

PartII:SupportingPages —WorkActivities

Activitiesfor Year1	ActivitiesforYear:4 FFYGrant:2006 PHAFY:2007			ActivitiesforYear:5 FFYGrant:2007 PHAFY:2008		
See	PHA Wide	Software Support	8,400	PHA Wide	Software Support	8,400
Annual		Salary Cost Allocation	39,200		Salary Cost Allocation	39,500
Statement		Technical Support	5,000		Fees & Costs – A/E	9,000
		Fees & Cost A/E	9,000		Technical Support	5,000
					Computer Upgrade	9,500
				KY064-1	Replace Air Conditioners	36,778
	KY064-01	Vinyl Porch Ceilings	9,949			
		Replace LR & Kitchen Lights	4,800			
		Replace Bath Vanities	4,900	KY064-2	Install Air Conditioning	35,836
		Replace Electrical Panels	41,723			
	KY064-2	Replace LR & Kitchen Lights	4,800	KY064-5	No Work	0
		Replace Stair Treads	5,142			
		Replace Stoves/Refrigerators	3,500			
	KY064-5	Replace Stoves/Refrigerators	7,600			
TOTALS			144,014			144014

AttachmentD

AnnualStatement/PerformanceandEvaluationReport					
CapitalFundProgramandCapitalFundProgr amReplacementHousingFactor(CFP/CFPRHF)Part1:Summary					
PHAName: HousingAuthorityofColumbia		GrantTypeandNumber CapitalFundProgramGrantNo: KY36P06450102 ReplacementHousingFactorGrantNo:			FederalFYofGrant: 2002
OriginalAnnualStatement <input type="checkbox"/> ReserveforDisasters/Emergencies <input checked="" type="checkbox"/> RevisedAnnualStatement(revisionno: 1) XXPerformanceandEvaluationReportforPeriodEnding:3 -31-03 <input type="checkbox"/> FinalPerformanceandEvaluationRe port					
Line No.	SummarybyDevelopmentAccount	TotalEstimatedCost		TotalActualCost	
		Original	Revised	Obligated	Expended
1	Totalnon -CFPFunds				
2	1406Operations				
3	1408ManagementImprovementsSoftCosts	7,455	7,455	7,455	
	ManagementImprovementsHardCosts				
4	1410Administration	26,860	26,860	26,860	
5	1411Audit				
6	1415LiquidatedDamages				
7	1430FeesandCosts	12,750	7,750		
8	1440SiteAcquisition				
9	1450SiteImprovement				
10	1460DwellingStructures	91,387	96,387	27,297	20,652
11	1465.1DwellingEquipment —Nonexpendable				
12	1470Nondwe llingStructures				
13	1475NondwellingEquipment	5,562	5,562	5,562	7,407
14	1485Demolition				
15	1490ReplacementReserve				
16	1492MovingtoWorkDemonstration				
17	1495.1RelocationCosts				
18	1499DevelopmentActivities				
19	1502Contingency				
	AmountofAnnualGrant:(sumoflines.....)	144,014	144,014	67,174	28,059
	AmountofflineXXRelatedtoLBPAactivities				
	AmountofflineXXRelatedtoSection504compliance				
	AmountofflineXXRelatedtoSecurity —SoftCosts				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of Columbia		Grant Type and Number Capital Fund Program Grant No: KY36P06450102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) XX Performance and Evaluation Report for Period Ending: 3 -31-03 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Line XX related to Security --Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages									
PHA Name: Housing Authority of Columbia			Grant Type and Number Capital Fund Program Grant No: KY36P06450102 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimate d Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PHA Wide	Software Support		1408		7455	7455	7,455	0	
	Salary Cost Allocation		1410		26860	26860	26,860	0	
	Fees & Costs – A & E		1430		7750	7750	0	0	
	Fees & Costs – MC		1430		5000	0	0	0	
	Computer Upgrade/Training		1475		5562	5562	5,562	7,407	
KY064-1	Exterior Doors		1460		0	24240	24,240	18,995	
	Locksets		1460		0	3057	3,057	1,657	
KY064-2	Replace Roofs		1460		91387	64090	0	0	
KY064-5	Security Screens		1460		0	5000	0	0	
TOTAL					144014	144014	67,174	28,059	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of Columbia		Grant Type and Number Capital Fund Program: KY36P06450101 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies XREVISED Annual Statement No. 1					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 03 -31-03 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	5,700	7,455	5,700	6,545
4	1410 Administration	25,580	24,440	24,440	24,440
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	12,750	9,764	2,414	2,414
8	1440 Site Acquisition				
9	1450 Site Improvement	1,000	2,500	1,000	0
10	1460 Dwelling Structures	110,282	106,953	9,983	9,983
11	1465.1 Dwelling Equipment — Nonexpendable				
12	1470 Non dwelling Structures				
13	1475 Non dwelling Equipment		4,200	4,200	3,774
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 -19)	155,312	155,312	47,737	47,156
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of Columbia		Grant Type and Number Capital Fund Program: KY36P06450101 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies X REVISED Annual Statement No. 1					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 03 -31-03 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Ac count	Total Estimated Cost		Total Actual Cost	
24	Amount of line 20 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHAName: Housing Authority of Columbia		Grant Type and Number Capital Fund Program #: KY36P06450101 Capital Fund Program Replacement Housing Factor #:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
PHAWIDE	SOFTWARE SUPPORT SERVICE CONTRACT	1408		5700	7455	5700	6545	
	COST ALLOCATION OF SALARIES	1410		25580	24440	24440	24440	
	A/E	1430		7750	7750	0	0	
	MANAGEMENT CONSULTANT – TECHNICAL ASSISTANCE, AGENCY PLAN	1430		5000	2014	2414	2414	
KY064-01	REPLACE TRANSFORMERS	1450		1000	2500	1000	0	
KY064-02	REPLACE WINDOWS	1460		96970	96970	0	0	
	TERMITE EXTERMINATION	1460		9216	6789	6789	6789	
KY064-05	TERMITE EXTERMINATION	1460		4096	3194	3194	3194	
PHAWIDE	COPIER	1475		0	4200	4200	3774	
TOTAL				155312	155312	47737	47156	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Housing Authority of Columbia			Grant Type and Number Capital Fund Program #: KY36P06450101 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	6-30-02			6-30-03			
KY064-1	6-30-02			6-30-03			
KY064-2	6-30-02			6-30-03			

Capital Fund Program 2000

All funds have been expended and the Capital Fund Program for 2000 is closed.

Public Housing Drug Elimination Program

The Public Housing Drug Elimination Program is no longer in existence.

Resident Advisory Board Membership

A letter of interest was sent to all residents of the Columbia Housing Authority. No resident submitted a letter of interest; therefore, the Housing Authority placed all residents on the Resident Advisory Board. A copy of the Housing Authority's resident listing is included in the hard copy of the 2003 Agency Plan Update.

Resident Advisory Board Recommendations and PHA Response

The following outlines recommendations made by the Resident Advisory Board and the Housing Authority's response:

The Housing Authority of Columbia held a Resident Advisory Meeting on March 18, 2003 to discuss the Housing Authority's 2003 Agency Plan. No recommendations were received.

A copy of the Resident Advisory Board Meeting notices and sign in sheet are included in the hard copy of the Agency Plan Update.

Criteria for Significant Amendment and Substantial Modification

The following criteria was adopted with the 2001 Agency Plan Update as the definition for of significant amendment or modification to the Housing Authority's Agency Plan:

The PHA's definition of significant amendments or modifications are defined as discretionary changes in the plan, policies of the Housing Authority of Columbia that fundamentally change the mission, goals, objectives, or plans of the agency. Substantial deviations do not exist in the following: Movement of funds from one category to another, amendments to existing policies, or creation of new policies that do not fundamentally change the mission or goals of the PHA.

Deconcentration & Income Mixing

Attachment: K Deconcentration and Income Mixing

Component 3, (6) Deconcentration and Income Mixing

- a. ☐ Yes ☒ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. ☐ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any)[see step 4 at §903.2©(1)((iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2©(1)(v)]

Voluntary Conversion Initial Assessments

Component 10 (B) Voluntary conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessment: Two
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects?) None
- c. How many Assessments were conducted for the PHA's covered developments? One
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
None	

- e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

Community Service

The Housing Authority of Columbia's Community Service requirement was reinstated with approval of the following resolution on July 8, 2003:

Resolution no. 4202

EXTRACT FROM THE MINUTES OF A SPECIAL
MEETING OF THE HOUSING AUTHORITY OF COLUMBIA
BOARD OF COMMISSIONERS
HELD ON JULY 8, 2003

The Commissioners of the Housing Authority of Columbia met in a special called meeting at the Housing Authority of Columbia office in the City of Columbia, Kentucky at 4:00 PM.

The Chairman called the meeting to order and on roll call the following answered present:

David Martin – Becky Rogers – Howard Wilkinson – Curtis Hardwick

The Chairman declared a quorum present.

The following resolution was introduced by Shannon Biggs and read in full and considered.

To reinstate the community service policy July 8, 2003.

David Martin made a motion to approve this resolution. Seconded by Howard Wilkinson.

Upon roll call the "AYES" and NAYES" were as follows:

AYES	NAYES
------	-------

Howard Wilkinson	
David Martin	
Becky Rogers	
Curtis Hardwick	

The Chairman therefore declared the motion carried and the resolution was adopted.

NOTE: This resolution will be approved when the minutes of the July 8th meeting are approved at the next board meeting.

Pet Policy Summary

The following is a brief summary of the Housing Authority's Pet Policy requirements:

The Housing Authority of Columbia revised Pet Policy was adopted in conjunction with approval of the 2000 Annual and Five Year Plan. The policy requires registration of pets with the Housing Authority and outlines the specific type and number of pets allowed. A pet deposit of \$200 is charged for a dog or cat, \$50 for a fish aquarium and \$25 for caged pets. A "Resident Acknowledgment" is signed by the head of household and the Housing Authority upon approval of the pet. The Housing Authority also requires documentation of the pet's vaccination records and a picture of the pet. In the event the pet becomes overweight, the policy outlines a diet plan for the pet to follow.

Resident Membership PHA Governing Board

Required Attachment O: Resident Member on the PHA Governing Board

1. ☐ Yes ☒ No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

B. How was the resident board member selected: (select one)?

- ☐ Elected
☐ Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- ☐ the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full-time basis
☐ the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
☒ Other (explain):

Letters of interest were received by the Housing Authority and submitted to the appointing official.

B. Date of next term expiration of a governing board member: 5-7-03

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): **Mayor Curtis Hardwick**

